

Our Docket No.: 005266.P004X

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MASATO OTSUKA ET AL.

Application No.: 09/939,421

Filed: August 24, 2001

METHOD OF CONTROLLING THE  
OPERATIONS AND DISPLAY MODE  
OF AN OPTICAL DISC PLAYER  
BETWEEN A VIDEO PLAYBACK  
MODE AND A USER AGENT MODE

Examiner: Unassigned

Expected Art Group: 2652

MAR 26 2002

Which is a Continuation-in-Part  
of:

MASATO OTSUKA ET AL.

Serial No.: 09/320,409

Filed: July 17, 2001

For: METHOD OF CONTROLLING THE  
OPERATIONS MODE OF AN  
OPTICAL DISC PLAYER BETWEEN  
A VIDEO PLAYBACK MODE AND A  
USER AGENT MODE

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants in accordance with their duty of disclosure under  
37 CFR §1.56 and in accordance with 37 CFR §1.97(c)(2), submit  
the enclosed PTO/SB/08 Form(s). Pursuant to 37 CFR 1.98(d),  
copies of the patents, publications and other information listed

on the enclosed Form PTO/SB/08 are not included herewith. Copies of these references can be found in prior U.S. Patent Applications upon which this application claims the benefit thereof.

Pursuant to 35 USC 120, the above referenced U.S. Patent Application claims the benefit and is a continuation-in-Part of U.S. Application No. 09/906,982 filed July 17, 2001 by Masato Otsuka et al.

Pursuant to 37 CFR 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant respectfully requests that the cited documents be considered and that the enclosed PTO/SB/08 forms be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicant.

Respectfully submitted  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: November 14, 2001

  
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## Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

Application Number	09/939,421
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Filing Date	August 24, 2001
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First Named Inventor	Masato Otsuka
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Group Art Unit	2652
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Examiner Name

Attorney Docket Number	5266P004X
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date	
Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.